

About the new P.R. Gilboy and HJ Sims relationship

Will my day-to-day contact change?

With very few exceptions, you should enjoy the same relationship with your P. R. Gilboy contact as you have in the past.

What will be P. R. Gilboy's address and general contact information?

Contact prgilboy@bloomberg.net | 704-844-8665 | 11006 Rushmore Drive, Suite 110, Charlotte, NC 28277.

Will P. R. Gilboy have the same name?

P. R. Gilboy & Associates will retain its market name as a division of HJ Sims and will remain intact as an operating business unit, offering securities through HJ Sims & Co., Inc.

How will ticket processing change? What are the new delivery instructions?

New Delivery Instructions are as follows:

Municipal / Corporate Bonds, Unit Trusts, Equities, Mutual Funds, Options

DTC Eligible

Participant #443

MPID: HJSC

Pershing's DVP instructions:

Institution #00443

Agent Bank #00443

Foreign Deliveries

Local Markets – 201-413-4800

Euroclear #92146 – 201-413-3199

ACATS/Free Receives and Free Deliveries

Euroclear #98730 – 201-413-3199 DVP/RVP

NSCC Fundserv

Participant #443

Government Notes, Bonds, Bills, Fed-Eligible FNMA, FHLM Securities & GNMA Securities

Options Clearing Corp.

Participant #443

Fed-Wireable Deliveries

ABA# 021000018

BK OF NYC/PERSHING

Call Government Clearance (201) 413-2516

Physical Deliveries

NSCC / Envelope Service

Participant # 443

Over the Window

Pershing

One Pershing Plaza (Lobby)

Attn: Domestic Clearance 9th fl

Grove Street PATH Station

Jersey City, NJ 07399

All Instructions Must Include:

Account Name, Account Number

Wire Fund Instructions

The Bank of New York

New York, NY

ABA # 021000018

A/C Pershing LLC.

A/C # 890-051238-5

For Further Credit (FFC) Customer

Account Number & Customer Name

PRIVACY POLICY

Your Privacy is Protected

At HJ Sims, an important part of our commitment to you is our respect for your right to privacy. Protecting all the information we are either required to gather or which accumulates in the course of doing business with you is a cornerstone of our relationship with you. While the range of products and services we offer continues to expand and the technology we use continues to change, our commitment to maintaining standards and procedures with respect to security remains constant.

Collection of Information

The primary reason that we collect and maintain information is to more effectively administer our customer relationship with you. It allows us to identify, improve and develop products and services that we believe could be of benefit. It also permits us to provide efficient, accurate and responsive service to help protect you from unauthorized use of your information and to comply with regulatory and other legal requirements.

Various sources are used to collect information about you, including (i) information you provide to us at the time you establish a relationship, (ii) information provided in applications, forms or instructions completed by you, (iii) information about your transactions with us. In order to maintain the integrity of client information, we have procedures in place to update such information, as well as to delete it when appropriate. We encourage you to communicate such changes whenever necessary.

Disclosure of Information

HJ Sims, does not disclose any nonpublic, personal information (such as your name, address or tax identification number) about our clients or former clients to anyone, except as permitted or required by law. We maintain physical, electronic and procedural safeguards to protect such information and limit access to such information to those employees who require it in order to provide products or services to you.

We are also permitted to disclose nonpublic, personal information to unaffiliated outside parties who assist us with processing, marketing or servicing a financial product, transaction or service requested by you, administering such a transaction, product or service, and/or providing confirmations, statements, valuations or other records or information produced on our behalf.